

THE NUMBER OF SMALL COVERS OVER CUBES

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ABSTRACT. In the present paper we find a bijection between the set of small covers over an n -cube and the set of acyclic digraphs with n labeled nodes. Using this, we give formulas of the number of small covers over an n -cube (generally, a product of simplices) up to Davis-Januszkiewicz equivalence classes and \mathbb{Z}_2^n -equivariant homeomorphism classes. Moreover we prove that the number of acyclic digraphs with n unlabeled nodes is an upper bound of the number of small covers over an n -cube up to homeomorphism.

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1. INTRODUCTION

Let P be a simple convex polytope of dimension n and $\mathcal{F}(P) = \{F_1, \dots, F_m\}$ be the set of facets of P . Consider $\lambda : \mathcal{F}(P) \rightarrow \mathbb{Z}_2^n$ which satisfies the *non-singularity condition*; $\{\lambda(F_{i_1}), \dots, \lambda(F_{i_n})\}$ is a basis of \mathbb{Z}_2^n whenever the intersection $F_{i_1} \cap \dots \cap F_{i_n}$ is non-empty. We call λ a *characteristic function*. Let $\mathbb{Z}_2(F_i)$ be the subgroup of \mathbb{Z}_2^n generated by $\lambda(F_i)$.

Given a point $p \in P$, we denote by $G(p)$ the minimal face containing p in its relative interior. Assume $G(p) = F_{j_1} \cap \dots \cap F_{j_k}$. Then $\mathbb{Z}_2(G(p)) = \oplus_{i=1}^k \mathbb{Z}_2(F_{j_i})$. Note that $\mathbb{Z}_2(G(p))$ is a k -dimensional subgroup of \mathbb{Z}_2^n . Let $M(\lambda)$ denote $P \times (\mathbb{Z}_2^n)^n / \sim$, where $(p, g) \sim (q, h)$ if $p = q$ and $g^{-1}h \in \mathbb{Z}_2(G(p))$. The free action of \mathbb{Z}_2^n on $P \times \mathbb{Z}_2^n$ descends to an action on $M(\lambda)$ with quotient P . It is easy to show that the action is locally standard. On the other hand, Davis and Januszkiewicz introduced the notion of what is called a *small cover* in

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[DJ91]. A small cover is a smooth closed manifold M^n with a locally standard \mathbb{Z}_2^n -action such that its orbit space is a simple convex polytope. Thus, $M(\lambda)$ is a small cover over P .

Two small covers M_1 and M_2 are said to be *weakly \mathbb{Z}_2^n -equivariantly homeomorphic* (or simply *weakly \mathbb{Z}_2^n -homeomorphic*) if there is an automorphism $\varphi : \mathbb{Z}_2^n \rightarrow \mathbb{Z}_2^n$ and a homeomorphism $f : M_1 \rightarrow M_2$ such that $f(t \cdot x) = \varphi(t) \cdot f(x)$ for every $t \in \mathbb{Z}_2^n$ and $x \in M_1$. If φ is an identity, then M_1 and M_2 are \mathbb{Z}_2^n -homeomorphic. Following Davis and Januszkiewicz, two small covers M_1 and M_2 over P are said to be *Davis-Januszkiewicz equivalent* (or simply, *D-J equivalent*) if there is a weakly \mathbb{Z}_2^n -homeomorphism $f : M_1 \rightarrow M_2$ covering the identity on P .

Let $cf(P)$ denote the set of all characteristic functions over P .

Theorem 1.1 ([DJ91]). *All small covers over P are given by $\{M(\lambda) | \lambda \in cf(P)\}$, i.e. for each small cover M over P , there is a characteristic function λ with \mathbb{Z}_2^n -homeomorphism $M(\lambda) \rightarrow M$ covering the identity on P .*

There is a natural free left action of $GL(n, \mathbb{Z}_2)$ on $cf(P)$ defined by the correspondence $\lambda \mapsto \sigma \circ \lambda$. This action induces D-J equivalence on $cf(P)$. Hence the number of D-J equivalence classes over P is $|GL(n, \mathbb{Z}_2) \backslash cf(P)|$. In recent years, numerous studies have attempted to enumerate the number of equivalence classes of all small covers over a specific polytope. In [GS03], Garrison and Scott used a computer program to show that the number of D-J classes over a dodecahedron is 2165. Moreover they calculated that the number of homeomorphism classes of all small covers over a dodecahedron is 25. In [CCL07], Cai, Chen and Lü calculated the number of D-J classes and \mathbb{Z}_2^3 -equivariant homeomorphism classes over 3-dimensional prisms.

The arrangement of this paper is as follows. In Section 2 we study about small covers over cubes and some algebraic lemmas and use a combinatorial method to prove that the number of small covers over an n -cube up to D-J equivalence is equal to the number of acyclic digraphs with n labeled nodes. Moreover we give the formula of the number of small covers over a product of simplices up to D-J equivalence. In Section 3, we obtain a calculation formula of the number of equivariant homeomorphism classes of all small covers over cubes. In Section 4, we show that the number of weakly homeomorphism classes is less than or equal to the number of acyclic digraphs with n unlabeled nodes.

2. SMALL COVERS OVER CUBES AND ACYCLIC DIGRAPHS

2.1. Small covers over an n -cube. Recall that we may assign an $(n \times m)$ -matrix Λ to an element $\lambda \in cf(P)$ by ordering the facets and choosing a basis for $(\mathbb{Z}_2)^n$.

$$\Lambda = (\lambda(F_1) \cdots \lambda(F_m)) = (A|B),$$

where A be an $(n \times n)$ -matrix and B be an $(n \times (m - n))$ -matrix. Since there is a 1-1 correspondence between the D-J classes over P and $GL(n, \mathbb{Z}_2) \backslash cf(P)$, up to D-J equivalence, the refined representative in its coset class is given by $\Lambda \sim (E_n | A^{-1}B)$, where E_n is an identity matrix of size n . Denote $\Lambda_* = A^{-1}B$. We refer to Λ as the *refined form* of characteristic function λ , and call Λ_* its *reduced submatrix*.

When P is an n -dimensional cube I^n , since the number of facets of I^n is $2n$, Λ is an $(n \times 2n)$ -matrix, i.e., Λ_* is an $(n \times n)$ -matrix. We shall additionally assume that the facets F_j and F_{n+j} do not intersect for $1 \leq j \leq n$. Then the non-singularity condition of λ is equivalent to the following; *every principal minor of Λ_* is 1*. Let $M(n)$ be the set of \mathbb{Z}_2 -matrices of size n all of whose principal minors are 1. Then we have the following 1-1 correspondence:

$$\{\text{D-J classes over } I^n\} \leftrightarrow M(n).$$

Given a permutation μ of n elements, denote by $P(\mu)$ the corresponding $n \times n$ permutation matrix, which has units in positions $(\mu(i), i)$ for $1 \leq i \leq n$, and zeros otherwise. There is the symmetric group action on $n \times n$ matrices by conjugations $A \mapsto P(\mu)^{-1}AP(\mu)$. Now we give the technical lemma which is first appeared in [Dob01]. But we cited it from [MP07], Lemma 3.3.

Lemma 2.1 ([MP07]). *Let R be a commutative integral domain with an identity element 1, and let A be an $n \times n$ matrix with entries in R . Suppose that every proper principal minor of A is 1. If $\det A = 1$, then A is conjugate to a unipotent upper triangular matrix by a permutation matrix, and otherwise to a matrix of the form*

$$\begin{pmatrix} 1 & b_1 & 0 & \cdots & 0 \\ 0 & 1 & b_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & 1 & b_{n-1} \\ b_n & 0 & \cdots & 0 & 1 \end{pmatrix}$$

where $b_i \neq 0$ for every i .

Theorem 2.2. *The number of acyclic digraphs with n labeled nodes is equal to the number of D-J equivalence classes of all small covers over I^n .*

A “digraph” means a graph with at most one edge directed from vertex i to vertex j , for $1 \leq i \leq n, 1 \leq j \leq n$. An “acyclic” means there is no cycle of any length.

Proof. Let G be a digraph with n labeled nodes. Let $A(G)$ be the vertex adjacency matrix of G with \mathbb{Z}_2 entries. Set $B(G) := E_n + A(G)$. Note that conjugation action at $B(G)$ can be regarded as relabelling nodes. It is obvious that G is acyclic if and only if $A(G)$ would be a strictly upper triangular matrix by conjugation, i.e. $B(G)$ would be an upper triangular matrix with diagonal entries 1. Note that the determinant of $B(G)$ is 1. Let \mathcal{G}_n be the set of acyclic digraphs with labeled n nodes. Define $\phi : \mathcal{G}_n \rightarrow M(n)$ by $G \mapsto B(G)$. We claim that it is indeed the bijection between \mathcal{G}_n and $M(n)$. Let G be an acyclic digraph with n labeled nodes and V be a node set of G . For any subset V' of V , consider the induced subgraph $G'(V')$ by V' . Then it is also an acyclic digraph. If $|V'| = k$, then $B(G'(V'))$ is a k -rowed submatrix of $B(G)$. (A k -rowed submatrix of $n \times n$ matrix B is a $k \times k$ submatrix of B whose entries, $b_{i,j}$, have indices i and j that are the elements of the same k -element subset of $\{1, \dots, n\}$.) Thus the determinant of $B(G')$ is the principal minor of $B(G)$. Since $\det B(G') = 1$ for any acyclic digraph G' , hence $B(G) \in M(n)$. Thus ϕ is well-defined. And it is injective since $G \mapsto A(G)$ is injective. Let B be an element of $M(n)$. Note

that every diagonal entry of B is 1. Then there is a digraph G such that $B(G) = B$. By Lemma 2.1, since every principal minor of B is 1, B is conjugated to a unipotent upper triangular matrix. This implies G is acyclic, thus ϕ is surjective. \square

Acyclic digraphs were counted by Robinson in [Rob70] and by Stanley in [Sta73].

Theorem 2.3 ([Rob70], [Sta73]). *Let R_n be the number of acyclic digraphs with n labeled nodes. Then*

$$R_n = \sum_{k=1}^n (-1)^{k+1} \binom{n}{k} 2^{k(n-k)} R_{n-k}.$$

n	0	1	2	3	4	5	6	7	\dots
R_n	1	1	3	25	543	29281	3781503	1138779265	\dots

Now consider $A \in M(n)$. Note that A is a \mathbb{Z}_2 -matrix. One may regard A as a real matrix with entries in $\{0, 1\}$. We simply call it $(0, 1)$ -matrix. Then every principal minor of A is an odd number.

Lemma 2.4. *Let A be a $(0, 1)$ -matrix all of whose principal minors are odd. Then every principal minor of A is 1.*

Proof. We shall use an induction on n . When $n = 1$, it is obvious. Assume that it holds for matrices of size $\leq n - 1$. By induction hypothesis, every proper principal minor of A is ± 1 . If $\det(A) \neq 1$, by Lemma 2.1, $\det(A) = 1 \pm \prod b_i$. However A is a $(0, 1)$ -matrix and a conjugation action is a permutation of the rows and columns of A , hence b_i 's must be 1. Thus, the determinant of A is even. This is a contradiction. Thus $\det(A) = 1$. \square

Corollary 2.5. *The number of acyclic digraphs with n labeled nodes is equal to the number of real $(0, 1)$ -matrices all of whose principal minors are 1.*

Proof. This follows immediately from Lemma 2.4 and Theorem 2.2. \square

Remark 2.6. We know that the number of acyclic digraphs with n labeled nodes is equal to the number of $(0, 1)$ -matrices whose eigenvalues are positive. It is conjectured by Weisstein in 2001 and proved by McKay, *et al*, in [MOR⁺04]. Thus it can be easily checked that all eigenvalues of $(0, 1)$ -matrix are positive if and only if all of its principal minors are 1.

Remark 2.7. We can define a *quasitoric manifold* with $(S^1)^n$ -action as we did above. In this case, the reduced submatrix Λ_* of a characteristic function is an integer matrix. When P is an n -cube, Λ_* is an $(n \times n)$ -matrix all of whose principal minors are ± 1 . Especially, if every principal minor of $-\Lambda_*$ is 1, then the quasitoric manifold is equivalent to a Bott tower. We refer the reader [MP07]. By Lemma 2.4, for a small cover over I^n with Λ_* , there is the Bott tower over I^n such that the characteristic function whose reduced submatrix is $-\Lambda_*$ as a $(0, 1)$ -matrix.

2.2. Small covers over a product of simplices. The above processes can be extended to the case of a product of simplices. Let $P = \prod_{i=1}^l \Delta^{n_i}$ with $\sum_{i=1}^l n_i = n$, where Δ^{n_i} is the n_i -simplex for $i = 1, \dots, l$. Let $\{f_0^i, \dots, f_{n_i}^i\}$ be the set of facets of the simplex Δ^{n_i} . Therefore the set of facets of P is

$$\{F_{k_i}^i | 0 \leq k_i \leq n_i, i = 1, \dots, l\}$$

where $F_{k_i}^i = \Delta^{n_1} \times \dots \times \Delta^{n_{i-1}} \times f_{k_i}^i \times \Delta^{n_{i+1}} \times \dots \times \Delta^{n_l}$. Thus there are $n + l$ facets in P . Then a reduced submatrix Λ_* of characteristic function over P is an $(n \times l)$ -matrix. On the other hand, Λ_* can be viewed as an $(l \times l)$ -matrix $(\mathbf{v}_{i,j})$ whose entries in the j -th row are vectors in $\mathbb{Z}_2^{n_j}$. We shall call it a *vector matrix*. We refer the reader [CMS] for details. Let $\Lambda_{k_1 \dots k_l}$ be the $(l \times l)$ -submatrix of Λ whose j -th row is the k_j -th row of the $\mathbf{v}_{i,j}$. Then the non-singularity condition for Λ is equivalent that every principal minor of $\Lambda_{k_1 \dots k_l}$ is 1 for any $1 \leq k_1 \leq n_1, \dots, 1 \leq k_l \leq n_l$.

Theorem 2.8. *Let $\sharp DJ(\prod_{i=1}^l \Delta^{n_i})$ denote the number of D - J equivalence classes over $\prod_{i=1}^l \Delta^{n_i}$. Then*

$$\sharp DJ(\prod_{i=1}^l \Delta^{n_i}) = \sum_{G \in \mathcal{G}_l} \prod_{v_i \in V(G)} (2^{n_i} - 1)^{\text{outdeg}(v_i)},$$

where \mathcal{G}_l is the set of acyclic digraphs with labeled l nodes and $V(G) = \{v_1, \dots, v_l\}$ is the labeled vertex set of G .

Proof. Let $\Lambda_* = (\mathbf{v}_{i,j})$ be a reduced submatrix of characteristic function over P with $\mathbf{v}_{i,j} \in \mathbb{Z}_2^{n_j}$. Denote by $B(\Lambda_*) := (b_{i,j})$ the corresponding $(l \times l)$ -matrix over \mathbb{Z}_2 , which has units in positions (i, j) if $\mathbf{v}_{i,j}$ is nonzero, and zeros otherwise. Define the map ψ from $\{GL(n, \mathbb{Z}_2) \setminus cf(P)\}$ to \mathcal{G}_l by $\Lambda_* \mapsto G$ such that the adjacency matrix of G is $B(\Lambda_*) - E_l$. By using similar arguments of the proof of Theorem 2.2, we can prove that ψ is well-defined. Thus the number of characteristic functions is $\sum_{G \in \mathcal{G}_l} |\psi^{-1}(G)|$. Let G be an element of \mathcal{G}_l and $\Lambda_* = (\mathbf{v}_{i,j})$ be an $(l \times l)$ -vector matrix such with $\psi(\Lambda_*) = G$. Note that an directed edge from i to j in G is associated to a nonzero $\mathbf{v}_{i,j}$ and $\mathbf{v}_{i,j} \in \mathbb{Z}_2^{n_j}$. Note that $B(\Lambda_*)$ is conjugated to a unipotent upper triangular matrix. Therefore the non-singularity condition holds for arbitrary nonzero vectors in non-diagonal entries, i.e. we have $2^{n_i} - 1$ choices for each nonzero vector $\mathbf{v}_{i,j}$. Thus $|\psi^{-1}(G)| = \prod_{e \in E(G)} (2^{n_{i(e)}} - 1) = \prod_{v_i \in V(G)} (2^{n_i} - 1)^{\text{outdeg}(v_i)}$, where $E(G)$ is the set of directed edges of G and $i(e)$ is the index of the initial vertex of $e \in E(G)$. \square

Example 2.9. $\underline{l=2}$: $\sharp DJ(\Delta^{n_1} \times \Delta^{n_2}) = 1 + (2^{n_1} - 1) + (2^{n_2} - 1)$.

$\underline{l=3}$: $\sharp DJ(\Delta^{n_1} \times \Delta^{n_2} \times \Delta^{n_3}) = 1 + 2(x_1 + x_2 + x_3) + (x_1 + x_2 + x_3)^2 + (x_1x_2 + x_2x_3 + x_3x_1) + (x_1 + x_2 + x_3)(x_1^2 + x_2^2 + x_3^2) - x_1^3 - x_2^3 - x_3^3$, where $x_i = 2^{n_i} - 1$ for $i = 1, 2, 3$.

3. COUNTING \mathbb{Z}_2^n -EQUIVARIANT HOMEOMORPHISM CLASSES

Let P be a simple convex polytope of dimension n and $\mathcal{F}(P)$ be the set of faces of P . An *automorphism* of $\mathcal{F}(P)$ is a bijection from $\mathcal{F}(P)$ to itself which preserves the poset structure of all faces of P . Let $\text{Aut}(\mathcal{F}(P))$ denote the group of automorphisms of $\mathcal{F}(P)$.

One can define the right action of $\text{Aut}(\mathcal{F}(P))$ on $cf(P)$ by $\lambda \times h \mapsto \lambda \circ h$, where $\lambda \in cf(P)$ and $h \in \text{Aut}(\mathcal{F}(P))$. The following theorem is well-known. We refer the reader [LM].

Theorem 3.1. *Two small covers over an n -dimensional simple convex polytope P are \mathbb{Z}_2^n -equivariantly homeomorphic if and only if there is $h \in \text{Aut}(\mathcal{F}(P))$ such that $\lambda_1 = \lambda_2 \circ h$, where λ_1 and λ_2 are characteristic functions of small covers.*

Thus we are going to count the orbits of $cf(I^n)$ under the action of $\text{Aut}(\mathcal{F}(I^n))$. The Burnside's formula is very useful in the enumeration of the number of orbits.

Lemma 3.2 (Burnside's formula). *Let G be a finite group acting on a set X . Then the number of orbits of X under the G -action is equal to $\frac{1}{|G|} \sum_{g \in G} |X^g|$, where $X^g = \{x \in X \mid gx = x\}$.*

Theorem 3.3. *Let Q_n be the number of \mathbb{Z}_2^n -equivariant homeomorphism classes of small covers over I^n and R_k be the number of acyclic digraphs with k labeled nodes. Then*

$$Q_n = \frac{\sum_{k=0}^n \binom{n}{k} 2^{k(n-k)} R_k}{2^n n!} \cdot \prod_{i=0}^{n-1} (2^n - 2^i)$$

Proof. All elements of $\text{Aut}(\mathcal{F}(I^n))$ can be written in a simple form as follows :

$$\mu \cdot \chi_1^{e_1} \cdots \chi_n^{e_n}, e_j \in \mathbb{Z}_2$$

with a permutation $\mu \in S_n$ and reflections χ_1, \dots, χ_n . Hence $|\text{Aut}(\mathcal{F}(I^n))| = 2^n n!$. Note that μ is a permutation of the pairs of opposite facets and χ_i is the interchange of i -th opposite facets for each i . For some $g = \mu \chi_1^{e_1} \cdots \chi_n^{e_n} \in \text{Aut}(\mathcal{F}(I^n))$, let $cf(I^n)^g$ denote the set of elements in $cf(I^n)$ fixed by g . First, we claim that $cf(I^n)^g$ is nonempty implies $\mu = 1$. Let $\lambda \in \text{Aut}(\mathcal{F}(I^n))^g$ and $\mathcal{F}(I^n) = \{F_1, \dots, F_{2n}\}$ be the set of facets of I^n such that $F_i \cap F_{n+i} = \emptyset$ for all $i = 1, \dots, n$. Note that the non-singularity condition implies the determinant of $(\lambda(F_{\epsilon(1)}) \cdots \lambda(F_{\epsilon(n)}))$ is 1, where $\epsilon(t)$ is either t or $n+t$. Thus there is no pair F_i, F_j such that $\lambda(F_i) = \lambda(F_j)$ and $n \nmid i-j$. We deduce that $\mu = 1$. Now, we are going to enumerate $|cf(I^n)^g|$ when $\mu = 1$. We may assume $g = \chi_1 \cdots \chi_k$ for some k . Let λ be an element of $cf(I^n)^g$ and Λ be an $(n \times 2n)$ -matrix corresponding to λ . Note that $\Lambda = (A|B) = A \cdot (E_n | \Lambda_*)$, where $\Lambda_* = A^{-1}B$. Note that λ is fixed by g if and only if the first k columns of A and B are the same. Thus Λ_* is of the following form:

$$\begin{pmatrix} E_k & S \\ 0 & T \end{pmatrix},$$

where E_k is an identity matrix of size k , T is an $((n-k) \times (n-k))$ -matrix and S is a $(k \times (n-k))$ -matrix. Note that $\Lambda_* \in M(n)$ if and only if $T \in M(k)$. This implies $|cf(I^n)^g| = |GL(n, \mathbb{Z}_2)| \times 2^{k(n-k)} R_k$. Note that $|cf(I^n)^g|$ is independent of choices of k χ_i 's. Thus, by Burnside's formula,

$$Q_n = \frac{\sum_{k=0}^n \binom{n}{k} 2^{k(n-k)} R_k}{|\text{Aut}(I^n)|} \cdot |GL(n, \mathbb{Z}_2)|.$$

The theorem is proved with well-known fact $|GL(n, \mathbb{Z}_2)| = \prod_{i=0}^{n-1} (2^n - 2^i)$. \square

n	0	1	2	3	4	5	...
Q_n	1	1	6	259	87360	236240088	...

4. UPPER BOUNDS OF THE NUMBERS OF HOMEOMORPHISM CLASSES

By Theorem 3.1, we have a one-to-one correspondence between the set of weakly equivariant homeomorphism classes of small covers over simple polytope P and the double coset class by $GL(n, \mathbb{Z}_2)$ and $\text{Aut}(\mathcal{F}(P))$ on $cf(P)$. Let T_n be the number of weakly \mathbb{Z}_2^n -equivariant homeomorphism classes of small covers over I^n . Then,

$$T_n = |GL(n, \mathbb{Z}_2) \setminus cf(I^n) / \text{Aut}(\mathcal{F}(I^n))| = |M(n) / \text{Aut}(\mathcal{F}(I^n))|$$

where $M(n)$ is the set of \mathbb{Z}_2 -matrices of size n all of whose principal minors are 1. Recall that $\text{Aut}(\mathcal{F}(I^n))$ consists of elements of the form $\mu \cdot \chi_1^{e_1} \cdots \chi_n^{e_n}$, $e_j \in \mathbb{Z}_2$ with a permutation $\mu \in S_n$ and reflections $\chi_1 = \cdots = \chi_n$. Consider the permutation group $S_n = \{g \in \text{Aut}(\mathcal{F}(I^n)) | g = \mu \chi^0 \cdots \chi^0\}$ as a subgroup of $\text{Aut}(\mathcal{F}(I^n))$. Then the action of S_n on the set of facets of I^n by permuting the pairs of opposite facets. Let Λ be an $(n \times 2n)$ characteristic matrix. Then $\mu \in S_n$ acts as

$$\Lambda \mapsto \Lambda \cdot \begin{pmatrix} P(\mu) & 0 \\ 0 & P(\mu) \end{pmatrix}.$$

Thus, $(E_n | \Lambda_*) \mapsto (P(\mu) | \Lambda_* P(\mu)) \sim (E_n | P(\mu)^{-1} \Lambda_* P(\mu))$. This implies the action of S_n on $M(n)$ is the conjugation action. That is a relabeling on nodes of acyclic digraphs. Hence we have the following theorem and corollary:

Theorem 4.1. *The number of weakly \mathbb{Z}_2^n -equivariant homeomorphism classes of small covers over I^n is less than or equal to the number of acyclic digraphs with n unlabeled nodes.*

Corollary 4.2. *The number of homeomorphism classes of small covers over I^n is less than or equal to the number of acyclic digraphs with n unlabeled nodes.*

Acyclic digraphs with unlabeled nodes were counted by Robinson in [Rob77].

n	0	1	2	3	4	5	6	7	...
T_n	1	1	2	6	31	302	5984	243668	...

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